

# Security and **Privacy** in Post-Quantum World

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# Outline

## **Post-Quantum Cryptography**

- Motivation for lattice-based cryptography
- Lattice-Based Ring CT
- Lattice-Based Zero-Knowledge Proofs

## **Quantum Random Oracle Security Proof**

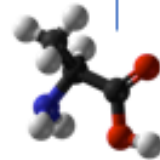
# Motivation for Lattice-Based Cryptography

# Post-Quantum Cryptography

Development in quantum computing



Idea by Richard Feynman proposed



Molecule of alanine used in NMR implementation of quantum computing.

7-qubit quantum computer realized

Phys.Rev.Lett, 2011 Apr 1,106(13):130506. Epub 2011 Mar 31.  
**14-Qubit entanglement: creation and coherence.**  
 Marc J. Heuley, J. Schindler, P. Baronio, J.T. Chou, M. Hsu, D. Gaeremynck, S. Halder, M. Hübner, W. Häfner, M. Blatt, B. Bladon  
 Author information  
**Abstract**  
 We report the creation of Greenberger-Horne-Zeilinger states with up to 14 qubits. By investigating the coherence of up to 8 ions we observe a decay proportional to the square of the number of qubits. The observed decay agrees with a theoretical model which is a system affected by correlated, Gaussian phase noise. This model holds for the majority of current experimental systems developed for quantum computation and quantum metrology.  
 © 2011 American Physical Society  
 PMID: 21517367 DOI: 10.1126/PhysRevLett.106.130506

14-qubit quantum computer realized

First 2-qubit quantum computer realized

Science News  
 from research organizations  
**Los Alamos Scientists Shed New Light On Quantum Computation**  
 Date: January 5, 2001  
 Source: Los Alamos National Lab  
 Summary: Scientists at the Department of Energy's Los Alamos National Laboratory and the University of Queensland's Centre for Quantum Computer Technology in Australia have made an advance in the quest for a functional quantum computer by exploiting currently existing technology in a novel and unexpected way. A functional quantum computer could solve certain large mathematical problems and crack secret codes at speeds faster than today's fastest supercomputers.  
 Share: f t G+ p in

12-qubit quantum computer realized

MIT Technology Review  
**IBM Raises the Bar with a 50-Qubit Quantum Computer**

49/50-qubit quantum computer realized

NewScientist  
**IBM unveils its first commercial quantum computer**

72-qubit quantum computer realized

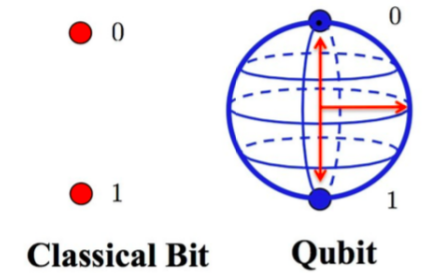
Google moves toward quantum supremacy with 72-qubit computer

# Post-Quantum Cryptography

- Once a quantum computer (QC) will be available for the daily use, it will break RSA
- Quantum supremacy (defined by US scientist John Preskill) = ability of QC to perform computations faster than classical computers.
- NIST (US) initiated PQC standardization process to solicit, evaluate and standardize one or more quantum-resistant public-key cryptosystems:
- How do we secure our internet data (stored, transmitted via the Internet)?
- There are several post-quantum candidates which look into this question:
  - **Lattice-based** cryptography
  - Code-based cryptography
  - Symmetric primitives
  - Isogeny-based cryptography
  - Multi-variate cryptography

# Post-Quantum Cryptography

Post-Q.	Security	Efficiency	Compactness	Applications
Lattice-based	<b>High</b> Worse-case	<b>High</b> Signing + Verification +	<b>Medium</b> Signature Size + Pub-Key Size +	<b>High</b> BLISS, FHE...
Code-based	<b>High</b>	<b>Medium</b> Signing - Verification +	<b>Medium</b> Signature Size + Pub-Key Size -	<b>Low</b> None.
Multivariate-based	<b>High</b>	<b>High</b> Signing + Verification +	<b>Medium</b> Signature Size + Pub-Key Size -	<b>Medium</b> Only DS: Rainbow.
Hash-based	<b>High</b>	<b>Low</b> Signing - Verification -	<b>High</b> Signature Size + Pub-Key Size +	<b>Low</b> None.
Isogeny-based	<b>High</b>	<b>Low</b> Signing - Verification -	<b>Medium</b> Signature Size - Pub-Key Size +	<b>Low</b> None.



# Lattice-Based Cryptography

## Motivation: Efficiency

Popular cryptosystems are relatively inefficient;

For security level  $2^n$  :

RSA -- key length  $O(n^3)$ , computation  $O(n^6)$ .

ECC -- key length  $O(n)$ , computation  $O(n^2)$ .

**Structured ('Ring based') Lattices -- key length and computation  $O(n)$  asymptotically, as  $n$  grows towards infinity.**

In Practice, for typical security parameter  $n \approx 100$ , with best current schemes, typically have:

Structured Lattice crypto: **Computation**  $\approx 100$  times faster than RSA

Structured Lattice crypto: **ciphertext/key length**  $\approx$  RSA key/ciphertext

# Lattice-Based Cryptography

**Definition:** An  $n$  dimensional (full-rank) **lattice**  $L(B)$  is the set of all integer linear combinations of some **basis** set of linearly independent vectors  $\vec{b}_1, \dots, \vec{b}_n \in \mathbb{R}^n$ :

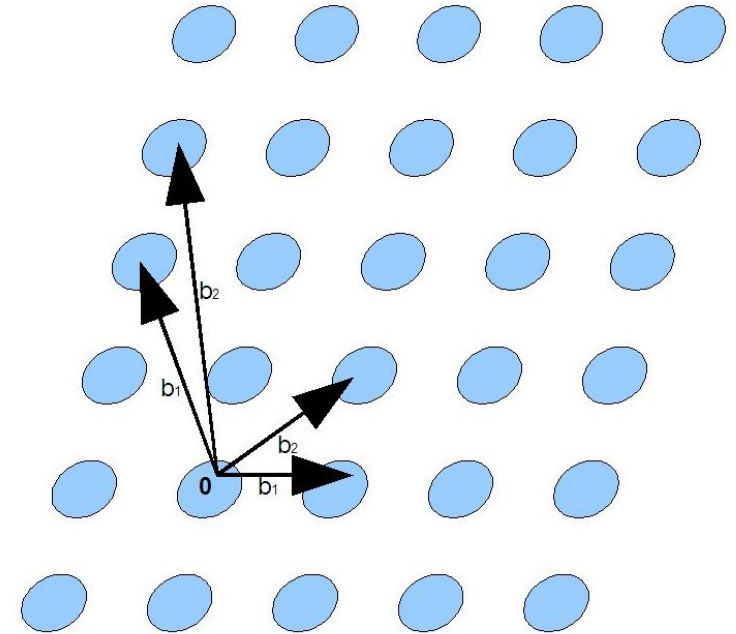
$$L(B) := \{c_1\vec{b}_1 + c_2\vec{b}_2 + \dots + c_n\vec{b}_n : c_i \in \mathbb{Z}, i = 1, \dots, n\}.$$

Call a  $n \times n$  matrix  $B = (\vec{b}_1, \dots, \vec{b}_n)$  a basis for  $L(B)$ .

Example: in 2 dimensions, i.e.  $n = 2$ :

$$\vec{b}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad \vec{b}_2 = \begin{bmatrix} 1.2 \\ 1 \end{bmatrix}$$

$$\vec{b}'_1 = \begin{bmatrix} -0.6 \\ 2 \end{bmatrix}, \quad \vec{b}'_2 = \begin{bmatrix} -0.3 \\ 3 \end{bmatrix}$$





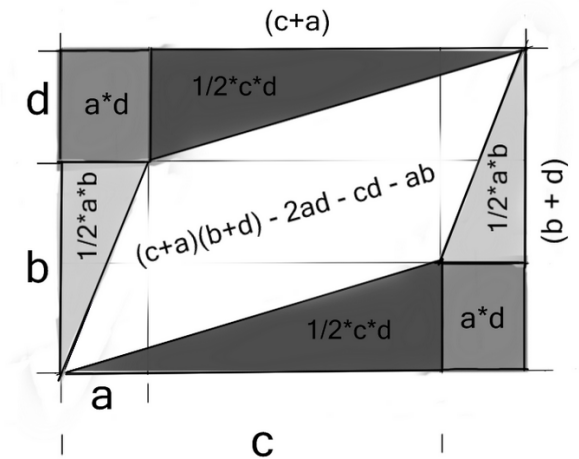
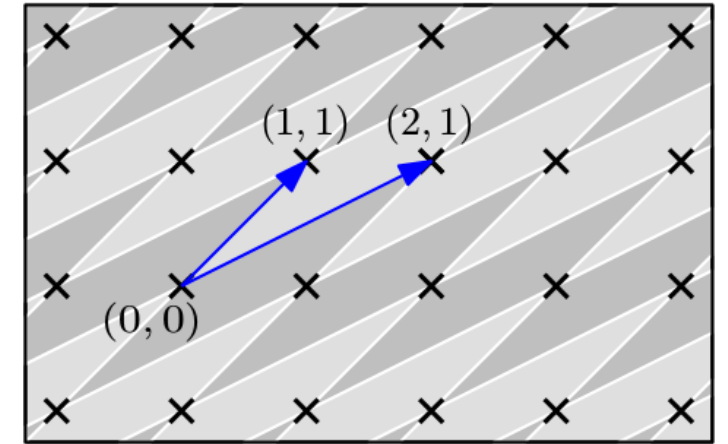
# Lattice-Based Cryptography

**Definition:** For an  $n$ -dimensional lattice basis  $B = (\vec{b}_1, \dots, \vec{b}_n) \in \mathbb{R}^{n \times n}$ , the **fundamental parallelepiped** of  $B$ , denoted  $P(B)$ , is the set of all real-valued  $[0,1)$ -linear combinations of some basis set of linearly independent vectors  $(\vec{b}_1, \dots, \vec{b}_n) \in \mathbb{R}^n$ :

$$P(B) := \{c_1 \vec{b}_1 + c_2 \vec{b}_2 + \dots + c_n \vec{b}_n : 0 \leq c_i < 1, i = 1, \dots, n\}$$

For an  $n$ -dimensional lattice  $L(B)$  the determinant of  $L(B)$  is the  $n$ -dim. volume of the  $P(B)$

Example: 2-dim  $B = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$



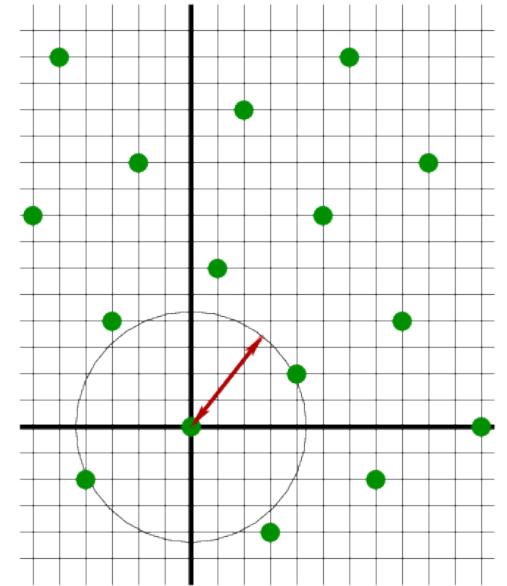
# Lattice-Based Cryptography

For cryptographic security, need computationally hard lattice problems. Many problems related to geometry of lattices seem to be hard.

The most basic geometric quantity about a lattice is its **minimum** (aka **Minkowski first minimum**).

**Definition:** For an  $n$ -dim. lattice  $L$  it's minimum  $\lambda(L)$  is the length of the shortest non-zero vector of  $L$ :  $\lambda(L) = \min(\|\vec{b}\| : \vec{b} \in L \setminus 0)$ .

For any  $n$ -dim. lattice  $L$  holds:  $\lambda(L) \leq \sqrt{n} \cdot \det L^{\frac{1}{n}}$ .



# Lattice-Based Cryptography

**Ajtai's Random q-ary perp Lattice:** Given an integer  $q$  and a uniformly random matrix  $A \in \mathbb{Z}_q^{n \times m}$ , the q-ary perp lattice  $L_q^\perp(A) = \{\vec{v} \in \mathbb{Z}^m : A \cdot \vec{v} = \vec{0} \text{ mod } q\}$ .

Lattice-based problems.

**$\gamma$  – Shortest Vector Problem ( $\gamma$ -SVP):** Given a basis  $B$  for  $n$  – dim lattice, find  $\vec{b} \in L$  such that:  
$$0 < \|\vec{b}\| < \gamma \cdot \lambda(L).$$

**Small Integer Solution Problem  $SIS_{q,m,n,\beta}$ :** Given  $n$  and a matrix  $A$  sampled uniformly in  $\mathbb{Z}_q^{n \times m}$ , find  $\vec{v} \in \mathbb{Z}^m \setminus \{0\}$  such that  $A \cdot \vec{v} = \vec{0} \text{ mod } q$  and  $\|\vec{v}\| \leq \beta$

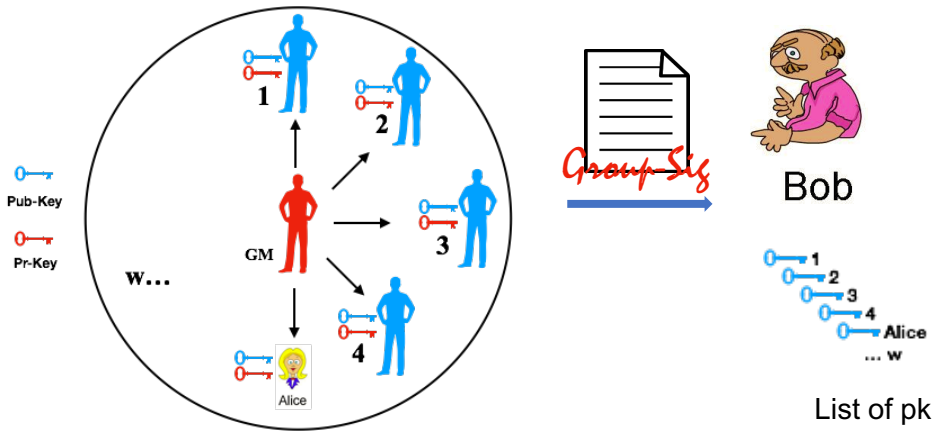
**Search-LWE Problem:** Given  $q, n, m, \alpha$ , a matrix  $A \leftarrow U(\mathbb{Z}_q^{m \times n})$  and  $\vec{y} = A \cdot \vec{s} + \vec{e} \text{ mod } q$  (with  $\vec{e} \leftarrow \chi_{\alpha q}^m$  and  $\vec{s} \leftarrow U(\mathbb{Z}_q^n)$ ), find  $\vec{s}$ .

# Lattice-Based RingCT

# Lattice-Based RingCT [ACISP'19]

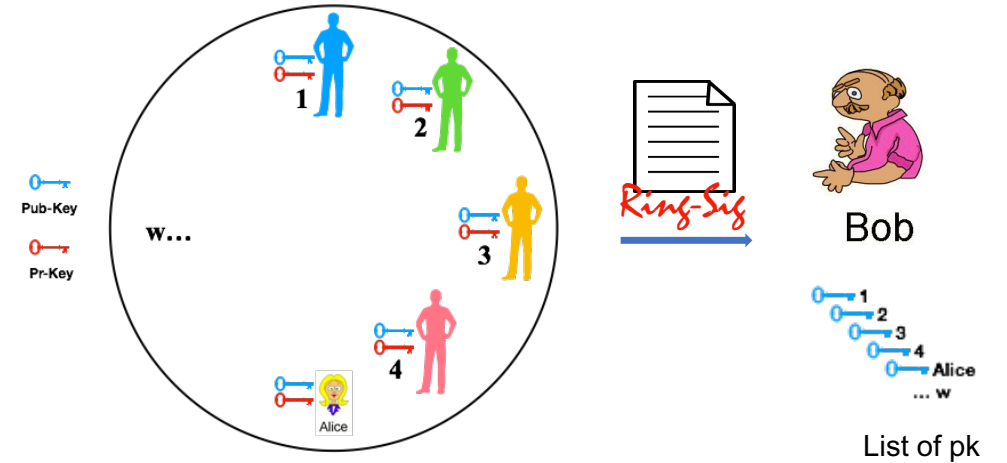
A **group signature** scheme allow a signer (Alice) as a member of a group to anonymously sign a message on behalf of the group with  $w$  users.

A group manager (GM) is in charge of establishing pairs of (**public key**, **secret key**) =  $(pk, sk)$ .



A **ring signature** scheme allow a signer (Alice) to anonymously sign a message on behalf of the group with  $w$  users.

No GM is needed.



# Lattice-Based RingCT [ACISP'19]

## A ring signature has the following properties:

- All the properties of a digital signature,
- *Anonymity*: the identity of Alice cannot be determined,
- *Spontaneity*: any ring of users can be used as a group,
- *non-Linkability*: given two messages and their signatures, no one can tell if the signatures were from the same signer or not,
- *non-Frameability*: no set of users can forge a signature for a non-participating ring member.

Example:

Cryptocurrencies like Bytecoin (BCN) 2012, ShadowCoin,

Monero 2016 (based on Liu's PhD thesis and paper); Ring CT v 1.0 and v 2.0.

# Lattice-Based RingCT [ACISP'19]

## LRCT Scheme:

- BLISS (Bimodal Lattice Signature Scheme)
- Post-quantum cryptography
- Five polynomial time algorithms

Correctness is satisfied

Version-1: Single-Input Single-Output (SISO) wallets.  
(ACISP2018)

Version-2: Multiple-Input Multiple-Output (MIMO) wallets.  
(ACISP2019)

MIMO.LRCT	Description
<b>Setup</b>	Creates the public parameters
<b>KeyGen</b>	Generates the public keys
<b>Mint</b>	Produces the coins
<b>Spend</b>	Transfers input wallets to output wallets
<b>Verify</b>	Verifies transactions

Accounts - Wallets		
	Public "act"	Private "ask"
User	Public-Key	Private-Key
Coin	Coin	Coin-key

Input Wallet ( <i>IW</i> )		
	Public "act"	Private "ask"
User	$\mathbf{a}_{(in)}^{(k)}$	$\mathbf{s}_{(in)}^{(k)}$
Coin	$\mathbf{cn}_{(in)}^{(k)}$	$\mathbf{ck}_{(in)}^{(k)}$

Output Wallet ( <i>OW</i> )		
	Public "act"	Private "ask"
User	$\mathbf{a}_{(out)}^{(j)}$	$\mathbf{s}_{(out)}^{(j)}$
Coin	$\mathbf{cn}_{(out)}^{(j)}$	$\mathbf{ck}_{(out)}^{(j)}$

**SISO:**  $k = 1$  and  $j = 1$

**MIMO:**  $k > 1$  and  $j > 1$

# Lattice-Based RingCT [ACISP'19]

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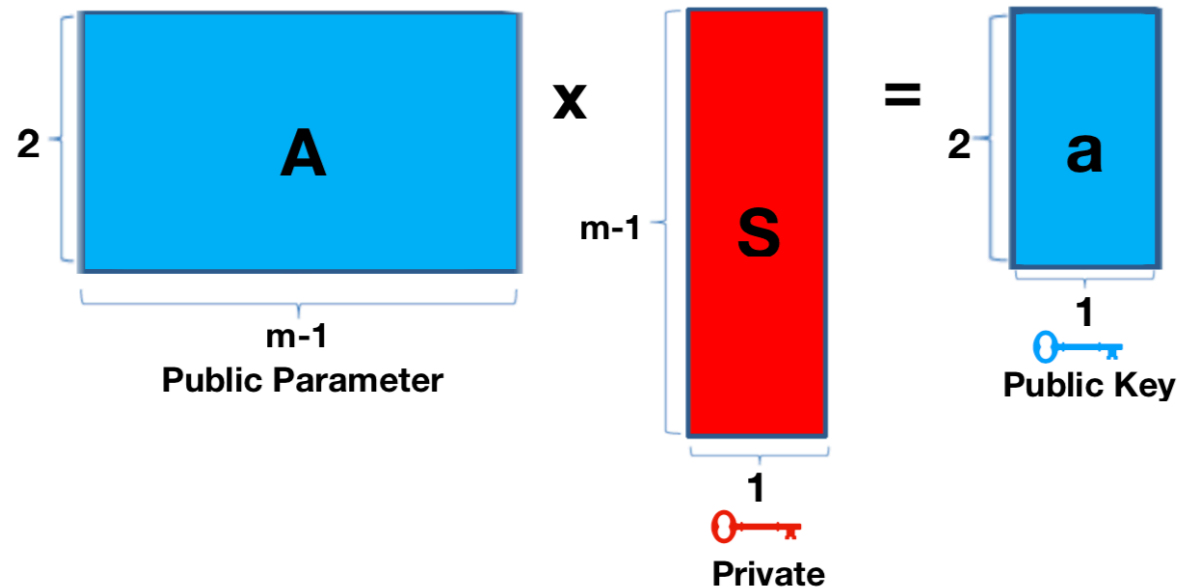
**Algorithm 1** MIMO.L2RS.KeyGen - Key-pair Generation ( $\mathbf{a}, \mathbf{S}$ )

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**Input:** Pub-Param:  $\mathbf{A} \in \mathcal{R}_q^{2 \times (m-1)}$ .

**Output:**  $(\mathbf{a}, \mathbf{S})$ , being the public-key and the private-key, respectively.

- 1: **procedure** MIMO.L2RS.KEYGEN( $\mathbf{A}$ )
  - 2:   Let  $\mathbf{S}^T = (\mathbf{s}_1, \dots, \mathbf{s}_{m-1}) \in \mathcal{R}_q^{1 \times (m-1)}$ , where  $\mathbf{s}_i \leftarrow (-2^\gamma, 2^\gamma)^n$ , for  $1 \leq i \leq m-1$
  - 3:   Compute  $\mathbf{a} = (\mathbf{a}_1, \mathbf{a}_2)^T = \mathbf{A} \cdot \mathbf{S} \bmod q \in \mathcal{R}_q^2$ .
  - 4:   **return**  $(\mathbf{a}, \mathbf{S})$ .
- 





# Lattice-Based RingCT [ACISP'19]

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## Algorithm 4 MIMO.LRCT.Mint

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**Input:**  $(\mathbf{A} \in \mathcal{R}_q^{2 \times (m-1)}, \$ \in [0, 2^{\ell\$-1}])$ , being the public parameter  $\mathbf{A}$  and the amount  $\$$ .

**Output:**  $(\mathbf{cn}, \mathbf{ck})$ , where they are the coin and the coin key, respectively.

1: **procedure** MIMO.LRCT.MINT( $\mathbf{A}, \$$ )

2:     Let  $\mathbf{ck}^T = (\mathbf{ck}_1, \dots, \mathbf{ck}_{m-1}) \in \mathcal{R}_q^{1 \times (m-1)}$  with  $\mathbf{ck}_i \leftarrow (-2^\gamma, 2^\gamma)^n$ , for  $1 \leq i \leq m-1$

3:      $\mathbf{cn} = \text{Com}_{\mathbf{A}}(\$, \mathbf{ck}) = \mathbf{A} \cdot \mathbf{ck} + \overline{\$} \bmod q \in \mathcal{R}_q^2$  with  $\overline{\$} = (0, \$)^T \in \mathcal{R}_q^{1 \times 2}$

4:     **return**  $(\mathbf{cn}, \mathbf{ck})$

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# Lattice-Based RingCT [ACISP'19]

## MIMO.LRCT.Spend protocol

1. Determines the amount  $\$_{in}$  to spend:  $N_{in}$  of  $IW$
2. Determines the **Bob's** wallets  $N_{out}$  of  $OW$ , using **Bob's**  $pk$
3. Proves balance,  $\sum \$_{in} = \sum \$_{out} \rightarrow$  amount preservation
4. Verifies  $\$_{out} \rightarrow$  range preservation ( $PoK_{Range}$ )
5. Securely sends  $ck_{out}$  and  $\$_{out}$  to **Bob**
6. Creates the List of the Ring Signature  $\rightarrow$  adding  $N_{in}$  of  $IW$
7. Signs the transaction  $TX$  with  $(sk, ck) \rightarrow$  SigGen ( $PoK$ )
8. Sets  $TX = \{\mu, IW, OW\}$ ,  $Sig = \{PoK, PoK_{Range}\}$
9. Outputs  $TX$ ,  $Sig$  and *Linking Tags*



Alice



Bob

## Range Proof



Alice

$$\mathbf{cn}_{in} = A \cdot \mathbf{ck} + 10 \rightarrow \begin{array}{l} \text{Spend: } \mathbf{cn}_{out-1} = A \cdot \mathbf{ck} + 5 = \mathbf{Com}_A(5, \mathbf{ck}) \\ \text{Change: } \mathbf{cn}_{out-2} = A \cdot \mathbf{ck} + 5 = \mathbf{Com}_A(5, \mathbf{ck}) \end{array}$$

- Proves balance,  $\sum \$_{in} = \sum \$_{out} \rightarrow$  amount preservation

$$\mathbf{cn}_{in} - (\mathbf{cn}_{out-1} + \mathbf{cn}_{out-2}) = \mathbf{Com}_A(0, \mathbf{ck})$$



Bob



$$\mathbf{cn}_{in} = A \cdot \mathbf{ck} + 10 \rightarrow \begin{array}{l} \text{Spend: } \mathbf{cn}'_{out-1} = A \cdot \mathbf{ck} + 11 = \mathbf{Com}_A(11, \mathbf{ck}) \\ \text{Change: } \mathbf{cn}'_{out-2} = A \cdot \mathbf{ck} - 1 = \mathbf{Com}_A(-1, \mathbf{ck}) \end{array}$$

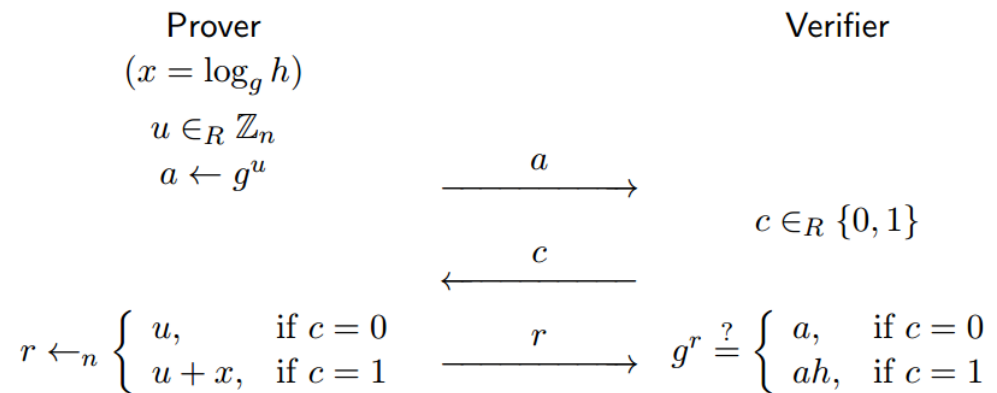
- Proves balance,  $\sum \$_{in} = \sum \$_{out} \rightarrow$  amount preservation

$$\mathbf{cn}_{in} - (\mathbf{cn}'_{out-1} + \mathbf{cn}'_{out-2}) = \mathbf{Com}_A(0, \mathbf{ck})$$

# Lattice-Based Zero-Knowledge Proofs

# Lattice-Based Zero-Knowledge Proofs

## Background: Schnorr Protocol



ZKP is useful tool for proving something about a secret is true while minimizing leakage of information on the secret ([GMR85]).

ZKP has been extensively investigated and generalized to cover almost any imaginable scenario! For instance, how to prove in ZK that:

- **Anonymous authentication:** I know a secret key that corresponds to one of N public keys of a group, without identifying which key.
- **Anonymous credentials:** I know a signature from an authority on my driver's license (containing my name, address, age,...) but I just want to prove to an alcohol merchant that I am over 18, without leaking who I am.

To handle such general situations, need to generalize definition (and construction!) of ZK.

# Lattice-Based Zero-Knowledge Proofs

Generalizing the definition of ZK to any relation  $R$ :

- Let  $R = \{(v; w)\} \subseteq V \times W$  be a relation (e.g.  $R = \{(v = (g, h); w = x): h = g^x\}$  in Schnorr).
- Let  $v \in V$  be the common public input to  $P$  and  $V$  (e.g.  $h \in \langle g \rangle$  in Schnorr).
- Let  $w \in W$  be a witness private input to  $P$  (e.g.  $x$  such that  $h = g^x$  in Schnorr).
- Let  $L_R$  be language corresponding to  $R$ , i.e. set of  $v \in V$  for which there exists a witness  $w \in W$  with  $(v; w) \in R$ . (e.g. set  $\langle g \rangle$  in Schnorr)

Goal: For a given relation  $R$  and  $v$ , prove in ZK that I know a witness  $w$  such that  $(v; w) \in R$ .

# Lattice-Based Zero-Knowledge Proofs

General definition of Zero-Knowledge Proof to any relation  $R$ .

**Completeness:** If  $P$  and  $V$  follow protocol,  $V$ 's test will always pass.

**Soundness:** There exists an efficient (probabilistic polynomial time) algorithm (witness extractor) that given any malicious prover  $P^*$  that passes with non-negligible probability the honest verifier's test on input  $v$ , can extract a witness  $w$  such that  $(v; w) \in R$ .

**Zero-Knowledge:** There exists an efficient (expected polynomial time) algorithm (simulator) that given any malicious verifier  $V^*$ , can simulate protocol messages received by  $V^*$  from  $P$  on input  $v$  with a computationally indistinguishable distribution.

# Lattice-Based Zero-Knowledge Proofs

**Definition (Commitment Scheme):** The formal definition of a commitment scheme is given as follows. A commitment scheme consists of the following three algorithms:

*KeyGen*: is a probabilistic polynomial-time (PPT) algorithm that outputs a commitment key  $ck$  and a definition of message space  $\mathcal{M}_{ck}$ .

*Com*: is a PPT algorithm that on input the commitment key  $ck$  and a message  $\mu \in \mathcal{M}_{ck}$  outputs values  $C, r$ , where  $C$  is the commitment on  $\mu$  and  $r \in \mathcal{R}_{ck}$  is the corresponding randomness sampled from randomness space  $\mathcal{R}_{ck}$ .

*Open*: is a deterministic algorithm that on input  $ck$ , a message  $\mu$  and values  $C, r$  opens the commitment to the value  $\mu$ .

**Homomorphic commitment:** A homomorphic commitment scheme is a non-interactive commitment scheme such that the following property holds:

$$\begin{aligned} Com_{ck}(a, r_a) + Com_{ck}(b, r_b) &= Com_{ck}(a + b, r_a + r_b) \\ \zeta \cdot Com_{ck}(a, r_a) &= Com_{ck}(\zeta a, \zeta r_a) \end{aligned}$$



# Lattice-Based Zero-Knowledge Proof for Integer Relations (Designs, Codes and Cryptography, (to appear))

**Definition (Challenge Space):** Let  $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$  for  $q \in \mathbb{Z}^+$ . Let  $HW(f)$  denote the Hamming weight of the elements  $f \in \mathbb{Z}[X]$  and  $p \leq q/2$  then the challenge space  $\mathcal{CH}_{\omega,p}^n$  is defined as follows:

$$\mathcal{CH}_{\omega,p}^n = \{f \in \mathbb{Z}[X]: \deg(f) = n - 1 \wedge HW(f) = \omega \wedge \|f\|_{\infty} = p\}, \quad \text{and} \quad \Delta\mathcal{CH}_{\omega,p}^n = \mathcal{CH}_{\omega,p}^n - \mathcal{CH}_{\omega,p}^n$$

## Lattice-Based Commitment

If the M-LWE problem is hard then the commitment scheme is computationally hiding.

If M-SIS problem is hard, then our commitments scheme is computationally binding with respect to the relaxation factor  $d$ .

**KeyGen:** Create  $(\mathbf{A}_1, \mathbf{A}_2) \in \mathcal{R}_q^{\nu \times m} \times \mathcal{R}_q^{n' \times m}$ . Public parameters are:

$$\mathbf{A}_1 = [\mathbf{I}_{\nu} \parallel \mathbf{A}'_1], \quad \text{where} \quad \mathbf{A}'_1 \leftarrow_{\$} \mathcal{R}_q^{\nu \times (m-\nu)}$$

$$\mathbf{A}_2 = [\mathbf{0}^{n' \times \nu} \parallel \mathbf{I}_{n'} \parallel \mathbf{A}'_2], \quad \text{where} \quad \mathbf{A}'_2 \leftarrow_{\$} \mathcal{R}_q^{n' \times (m-\nu-n')}$$

Set the commitment key  $ck = \mathbf{A} = \begin{bmatrix} \mathbf{A}_1 \\ \mathbf{A}_2 \end{bmatrix}$ , which is used to commit to  $\mathbf{x} \in \mathcal{R}_q^{n'}$ .

**Com:** To commit to a message  $\mathbf{x} \in \mathcal{R}_q^{n'}$ , choose a random polynomial vector  $\mathbf{r} \leftarrow_{\$} \mathcal{U}(\{-\mathcal{B}, \dots, \mathcal{B}\}^{mn})$  and output the commitment

$$\mathbf{C} := \text{Com}_{ck}(\mathbf{x}, \mathbf{r}) = \mathbf{A} \cdot \mathbf{r} + \mathbf{x} = \mathbf{A} \cdot \mathbf{r} + \text{enc}(\mathbf{x}), \quad \text{where} \quad \text{enc}(\mathbf{x}) = \begin{bmatrix} \mathbf{0}^{\nu} \\ \mathbf{x} \end{bmatrix} \in \mathcal{R}_q^{\nu+n'}.$$

**R0pen:** A valid opening of a commitment  $\mathbf{C}$  is a tuple consisting of  $\mathbf{x} \in \mathcal{R}_q^{n'}$ ,  $\mathbf{r} \in \mathcal{R}_q^m$  and  $d \in \Delta\mathcal{CH}_{\omega,p}^n$ . The verifier checks that  $d \cdot \mathbf{C} = \mathbf{A} \cdot \mathbf{r} + d \cdot \text{enc}(\mathbf{x})$ , and that  $\|\mathbf{r}\| \leq \beta$ . Otherwise return 0.

# Lattice-Based Zero-Knowledge Proof for Integer Relations

## Constructions

1. **Integer addition ZK protocol:** Prove knowledge of  $X, Y, Z \in \mathbb{Z}$  such that  $X + Y = Z \in \mathbb{Z}$
2. **Polynomial multiplication ZK protocol:** Prove knowledge of polynomials  $\mathcal{X}, \mathcal{Y}, \mathcal{Z} \in \mathcal{R}_q$  such that  $\mathcal{X} \cdot \mathcal{Y} = \mathcal{Z}$ .
3. **Integer multiplication ZK protocol:** Prove knowledge of integers  $X, Y, Z \in \mathbb{Z}$  such that  $X \cdot Y = Z$

## Techniques:

- *One-shot proof:* The shortness of the extracted witness is one of the main challenges in lattice-based zero-knowledge proofs and arguments of knowledge.
  - Since most of the extraction techniques use multiplication by the inverse of challenge differences, this can be challenging when we deal with lattice-based proofs.
  - Solution: introduction of relaxed arguments of knowledge.
  - -> solving a system of equations of the form  $V \cdot \vec{c} = \vec{y}$ , where  $V$  is a Vandermonde matrix, and the entries of this matrix are the different powers of challenges.
  - The one-shot proof in CRYPTO'19 uses adjugate matrices instead of Vandermonde. → Use a challenge space with large challenges

# Lattice-Based Zero-Knowledge Proof for Integer Relations

## Techniques (cont.):

- For integer addition protocol: Motivated by [CRYPTO'18].
  - [CRYPTO'18] provides efficient integer relations protocol for integers of length  $L \leq 2^{13}$ .
  - However, for smaller integers, i.e.  $L \in [2^4, 2^8]$  the [CRYPTO'18] approach can be outperformed by our protocol.
  - We use a chunking technique, applying on integers of length  $L$  and then perform the classical addition/multiplication algorithm on each chunk.

Parameter	Set 1	Set 2	[22]	Set 3	Set 4	[22]	Set 5	Set 6	[22]
Modulus $q$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{36}$	$2^{36}$	$2^{36}$
Ring dim. $n$	$2^7$	$2^7$	$2^7$	$2^8$	$2^8$	$2^8$	$2^9$	$2^9$	$2^9$
$L$ (Int. length)	$2^5$	$2^5$	$2^5$	$2^6$	$2^6$	$2^6$	$2^7$	$2^7$	$2^7$
$\tilde{m} = \mathcal{O}(n)$	896	896	N/A	1024	1024	N/A	1024	1024	N/A
$\mathcal{B}_{IA}$	280	280	N/A	73	73	N/A	157	157	N/A
$\log(\beta_{IA}')$	$\approx 33.12$	$\approx 26.62$	N/A	$\approx 31.27$	$\approx 24.78$	N/A	$\approx 32.38$	$\approx 25.9$	N/A
Nr. of chunks $k$	4	8	1	4	16	1	16	32	1
Nr. of repet. $t$	1	1	$\approx 137$	1	1	1	1	1	137
Proof size	195.89KB	189KB	1.8MB	1.02MB	846.67MB	3.57MB	2.09MB	1.75MB	6.23MB

← Integer Addition Protocol

Integer Multiplication Protocol



Parameter	Set 1	Set 2	[22]	Set 3	Set 4	[22]	Set 5	Set 6	[22]
Modulus $q$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{34}$	$2^{30}$	$2^{30}$	$2^{30}$
Ring dim. $n$	$2^7$	$2^7$	$2^7$	$2^8$	$2^8$	$2^8$	$2^9$	$2^9$	$2^9$
$L$ (Int. length)	$2^5$	$2^5$	$2^5$	$2^6$	$2^6$	$2^6$	$2^7$	$2^7$	$2^7$
$\tilde{m}$	896	896	N/A	1024	1024	N/A	1024	1024	N/A
$\mathcal{B}_{IM}$	280	280	N/A	73	73	N/A	16	16	N/A
$\log(\beta_{IM})$	33.12	26.94	N/A	31.5	25.01	N/A	29.10	26.88	N/A
Nr. of repet. $t$	1	1	$\approx 137$	1	1	1	1	1	137
Nr. of chunks $k$	8	16	1	16	64	1	32	64	1
Proof size	255.27KB	239.96KB	2.8MB	848.97KB	704.55KB	5.66MB	2.14MB	1.76MB	9.08MB

# Quantum Random Oracle Security Proof

# Quantum Random Oracle Model [EUROCRYPT'20]

- Fujisaki-Okamoto (FO) transform for CPA  $\rightarrow$  CCA security
  - Commonly used to strengthen CPA  $\rightarrow$  CCA security for pub-key encryption
    - Start from a CPA secure pub key encryption scheme  $E$
    - Get a CCA secure pub key enc scheme  $E' = FO(E)$
  - Used by most NIST PQC pub-key encryption scheme candidates
  - We focus on the  $FO^{\neq} = U^{\neq\cup} \circ T(E)$  variant
    - using two hash functions  $(H, H')$ , modelled as Random Oracles
    - Focus on hash  $H$  used by  $U$ :
      - $c = Enc(m; H'(m))$ , encapsulated key  $K = H(m, c)$
  - Assume two (mild) properties on the CPA pub-key encryption scheme:
    - Det. Scheme  $T(E)$  is  $\eta$  – injective for sufficiently negligible  $\eta$
    - CPA scheme  $E$  has sufficiently negligible decryption failure probability  $\delta$

# Quantum Random Oracle Model [EUROCRYPT'20]

- Security proofs in the Quantum Random Oracle Model (QROM)
  - Model hash functions used in FO transform as random oracles ( $q$  attack queries)
  - Quantum accessible random oracle  $O$ , modelled as a unitary map  $U_O$ :
    - $U_O |x\rangle|y\rangle \mapsto |x\rangle|y \oplus O(x)\rangle$
  - Model QROM quantum attacker  $\mathcal{A}^{|O\rangle}$  as a sequence of attack unitaries  $\mathcal{A}_i$  interleaved with oracle queries to  $U_O$ , followed by a final measurement  $\mathbb{M}$  to produce output:
    - $\mathcal{A}^{|O\rangle} := \mathbb{M} \circ \mathcal{A}_N \circ U_O \circ \mathcal{A}_{N-1} \circ U_O \circ \dots \circ U_O \circ \mathcal{A}_1$
    - $\mathcal{A}_i$  outputs  $i$ 'th query to  $O$
- **Prior FO QROM Security Proofs** (w/o strong “DS” properties): **square root adv. Loss**
  - $Adv(CCA) \leq \sqrt{q \cdot Adv(CPA)}$  (simplified)
- **Our result (with FFC/injectivity properties):**

$Adv(CCA) \leq q^2 \cdot Adv(CPA)$  (simplified) (no sq-root adv loss)

# Background: One-Way To Hiding (OWTH) Lemma

- **Core tool in QROM CCA proofs: One-Way to Hiding (OWTH) Lemma [U14]**
  - Recall - FO use of  $H$ :  $x^* \leftarrow \$$ ,  $z = \text{Enc}_{pk}(x^*)$ , encaps. key  $K = H(x^*, c)$
  - Classical ROM argument: if  $\mathcal{A}(pk, c)$  can distinguish  $K$  from random,  $\mathcal{A}$  must query  $H$  at  $(x^*, c)$ .  
→ proof reduction can extract  $x$  from  $\mathcal{A}$ 's queries to  $H$  → break one-wayness of Enc.
- OWTH [U14]: QROM variant of above
  - **Goal of  $\mathcal{A}$** : Distinguish whether  $O = H$  or  $O = G$  --  $G$  differs from  $H$  only at  $x^*$
  - $x^*, y_H, y_G \leftarrow \$$  //  $H(x^*) := y_H$ ,  $G(x^*) := y_G$
  - $\text{Adv}_{\text{OWTH}}(\mathcal{A}) := |\Pr[1 \leftarrow \mathcal{A}^{|H\rangle}(z^* = \text{Enc}(x^*), y_H, y_G)] - \Pr[1 \leftarrow \mathcal{A}^{|G\rangle}(z^* = \text{Enc}(x^*), y_H, y_G)]|$
  - **Goal of OWTH extractor algorithm  $B^{|O'\rangle}$** : Given  $z^* = \text{Enc}(x^*)$ , use  $\mathcal{A}$  to efficiently extract  $x^*$
  - $\text{Adv}_{\text{OW}}(B) := \Pr[x^* \leftarrow B^{|O'\rangle}(z^* = \text{Enc}(x^*), y_H, y_G)]$
- Original B strategy [U14],  $|O'\rangle = |H\rangle$  (“single sided”): **query-based extraction** → **measure a random query of A**
  - [U14] OWTH bound:  $\text{Adv}_{\text{OWTH}}(\mathcal{A}) \leq 2q \cdot \sqrt{\text{Adv}_{\text{OW}}(B)}$  -- square-root loss!
  - **Subsequent work [AHU18], [BH+19 -  $|O'\rangle = |G\rangle$  and  $|H\rangle$  (“double sided”)]**: Improve on “random query”, but still query-based extraction
  - [BH+19] bound:  $\text{Adv}_{\text{OWTH}}(\mathcal{A}) \leq 2 \cdot \sqrt{\text{Adv}_{\text{OW}}(B)}$  -- **square-root loss remains!**

# Background: One-Way To Hiding (OWTH) Lemma

- Q: Square-root loss in **query-based extraction** unavoidable?
- A: [PQCrypto'19] Impossibility Result -- **Yes!**
- **Main observation of [PQCrypto'19]**– quantum origin of square-root loss:
  - For  $q=1$  query to  $O$ , **there exists** a quantum distinguisher  $A$  with
    - $Adv_{OWTH}(\mathcal{A}) = \sqrt{2 \cdot Adv_{OW}(B)}$ , where  $B$  is the query-based extractor that measures  $\mathcal{A}$ 's query.

→ Impossible to remove OWTH square-root loss with a **query-based extractor**

- **Our observation:** But, the above distinguisher suggests an alternative extraction method that can circumvent the square-root loss:
  - use a **measurement-based extractor**
    - **Extract knowledge of  $x^*$  from  $A$ 's measurement,**
    - **rather than only from  $A$ 's queries!**



# Background: One-Way To Hiding (OWTH) Lemma

- How does the "square-root advantage" distinguisher work?
  - $\mathcal{A}$  makes a quantum query to  $O$ :
    - $\sum_{x'} \sqrt{p_{x'}} |x'\rangle |0\rangle = \sqrt{p_{x^*}} |x^*\rangle |0\rangle + \sum_{x' \neq x^*} \sqrt{p_{x'}} |x'\rangle |0\rangle \rightarrow \text{Adv}_{\text{OW}}(\mathcal{B}) = p_{x^*}$  (assume  $\ll 1$ ).
  - The response  $|\psi^O\rangle$  from  $O$  is either
    - $\mapsto |\psi^H\rangle := \sqrt{p_{x^*}} |x^*\rangle |y_H\rangle + \sqrt{1 - p_{x^*}} \sum_{x' \neq x^*} \frac{\sqrt{p_{x'}}}{\sqrt{1 - p_{x^*}}} |x'\rangle |H(x')\rangle$  **if  $O = H$**
    - $\mapsto |\psi^G\rangle := \sqrt{p_{x^*}} |x^*\rangle |y_G\rangle + \sqrt{1 - p_{x^*}} \sum_{x' \neq x^*} \frac{\sqrt{p_{x'}}}{\sqrt{1 - p_{x^*}}} |x'\rangle |H(x')\rangle$  **if  $O = G$**
  - To distinguish whether  $|\psi^O\rangle$  is  $|\psi^H\rangle$  or  $|\psi^G\rangle$ :
    - $\mathcal{A}$  makes a projective measurement on  $|\psi^O\rangle$ :  $\mathbb{M}_v$  w.r.t. a **measurement vector**  $|v\rangle$
    - $|v\rangle :=$  vector in  $\text{span}(|\psi^H\rangle, |\psi^G\rangle)$  at an angle of  $\approx \frac{\pi}{4}$  from  $|\psi^H\rangle$
    - $\mathbb{M}_v$  returns 1 with prob.  $p^O := \|\text{proj. of } |\psi^O\rangle \text{ along } |v\rangle\|^2$

# Our Idea: Measurement-Based Extraction

- Summary - our measurement-based extraction idea (assume 1 oracle query, optimal distinguisher  $\mathcal{A}$ ) -- algorithm C:

1. Run  $\mathcal{A}_1^{|\psi^G\rangle}(z^* = Enc(x^*), y_H, y_G)$  to output oracle query
2. Process the query with the oracle  $U_{|G\rangle}$  // state  $\rightarrow |\psi^G\rangle$
3. Let  $\mathcal{A}$  perform its proj. meas. w.r.t.  $|v\rangle$  // state  $\rightarrow |v\rangle$  with prob.  $p_3 \approx \|\text{proj}_v(|\psi^G\rangle)\|^2 \approx \frac{1}{2}$
4. Measure the input reg. and ret. result // state  $\rightarrow |x^*\rangle|\cdot\rangle$  with prob.  $p_4 \approx \|\text{proj}_\delta(|v\rangle)\|^2 \approx \frac{1}{2}$

Overall extraction success probability :=  $Adv_{OW}(C) = p_3 \cdot p_4 \approx \frac{1}{4}$

# Our Idea: Measurement-Based Extraction

- Summary - our measurement-based extraction idea

- (assume 1 oracle query, optimal distinguisher  $\mathcal{A}$ ) -- algorithm C:

1. Run  $\mathcal{A}_1^{|G\rangle}(z^* = Enc(x^*), y_H, y_G)$  to output oracle query

2. Process the query with the oracle  $U_{|G\rangle}$  // state  $\rightarrow |\psi^G\rangle$

3. Let  $\mathcal{A}$  perform its proj. meas. wrt  $|v\rangle$  // state  $\rightarrow |v\rangle$  with prob.  $p_3 \approx \|\text{proj}_v(|\psi^G\rangle)\|^2 \approx \frac{1}{2}$

- 3.1 Run  $\mathcal{A}_2$  -- pre-meas. unitary // rotates  $|v\rangle$  to comp. basis st.  $|1\rangle := \mathcal{A}_2|v\rangle$

- 3.2 Run  $\mathcal{A}$ 's comp. basis out. **Meas.**  $\mathbb{M}$  // state  $\rightarrow |1\rangle$  with prob.  $\|\text{proj}_1(\mathcal{A}_2|\psi^G\rangle)\|^2 \approx \frac{1}{2}$ .

- 3.3 Run  $\mathcal{A}_2^{-1}$  -- **Rewind** back to query // rotates  $|1\rangle$  back to  $|v\rangle = \mathcal{A}_2^{-1}|1\rangle$

4. **Measure** the input reg. and ret. result // state  $\rightarrow |x^*\rangle|\cdot\rangle$  with prob.  $p_4 \approx \|\text{proj}_\delta(|v\rangle)\|^2 \approx \frac{1}{2}$

Overall extraction success probability :=  $Adv_{OW}(C) = p_3 \cdot p_4 \approx \frac{1}{4}$

$\rightarrow$  “**Measure-Rewind-Measure**” (MRM) technique

# Our Idea: Measurement-Based Extraction

- **Comparison with prior OETH results:**

OETH Lemma	Adv(A) bound	Secret set size $ S $	Extractor oracles	A's dist. event
Orig. [U14]	$2d\sqrt{Adv_{OW}}$	Arbitrary	$ H\rangle$ or $ G\rangle$	Arbitrary
Semi-Class. [AHU18]	$2\sqrt{d Adv_{OW}}$	Arbitrary	$( H\rangle \setminus S$ or $ G\rangle \setminus S)$ and $1_S$	Arbitrary
Orig. Double- Sided [BH+19]	$2\sqrt{Adv_{OW}}$	1	$ H\rangle$ and $ G\rangle$	Arbitrary
<b>MRM</b>	$4d Adv_{OW}$	Arbitrary	$ H\rangle$ and $ G\rangle$	$1 \leftarrow A$

$d := A$ 's oracle depth,  $Adv_{OW} :=$  extractor's success probability,

$S :=$  set on which  $G, H$  differ,  $|H\rangle \setminus S :=$  restriction of  $|H\rangle$  to complement( $S$ ),  $1_S :=$  indicator function of  $S$

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